



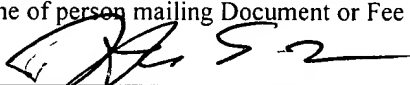
#5  
BS  
10-30-03  
2164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:	)	
	)	Examiner: To be assigned
Inventor(s): Eckert et al.	)	
	)	Group Art Unit: 2164
Application No.: 09/933,266	)	
	)	
Filed: August 20, 2001	)	Our Docket No.: 1713-0013
	)	
For: Method and Apparatus for Tradable	)	
Security Based on the Prospective	)	
Income of a Performer	)	

**RECEIVED**  
OCT 28 2003  
**GROUP 3600**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 17, 2003  
(Date of Deposit)

Harold C. Moore  
Name of person mailing Document or Fee  
  
Signature of person mailing Document or Fee

October 17, 2003  
Date of Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450


Sir:

Pursuant to 37 C.F.R. § 1.56, Applicants hereby disclose the following reference, a copy of which is enclosed, regarding the above-identified patent application.

Non-Patent References

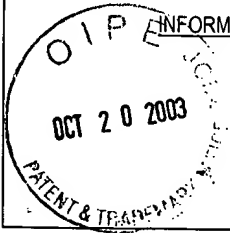
1. DeBellis Matthew A., David Bloom, Julie Landry, Richard Byrne Reilly, and Steve Silverman, "Elevator Pitch," page 4 of 5 in "Mayfield gets sick of health care," Red Herring the Business of Technology, February 9, 2001, Available online at <http://www.redherring.com/Article.aspx?f=articles%2farchive%2fvc%2f2001%2f0209%2f...>, (5 pages).

Respectfully Submitted,



Harold C. Moore  
Attorney for Applicants  
Registration No. 37,892

October 17, 2003  
Maginot, Moore & Beck  
Bank One Center Tower  
111 Monument Circle, Suite 3000  
Indianapolis, Indiana 46204-5115  
(317) 638-2922 phone  
(317) 638-2139 fax

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT 	ATTY. DOCKET NO.: 1713-0013	APPLICATION NO.: 09/933,266
	APPLICANT(S): Eckert et al.	
	FILING DATE: August 20, 2001	GROUP ART UNIT: 2164

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**RECEIVED**  
 OCT 28 2003  
 GROUP 3600

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	<u>1</u>	DeBellis, Matthew A., David Bloom, Julie Landry, Richard Byrne Reilly, and Steve Silverman, "Elevator Pitch," page 4 of 5 in "Mayfield gets sick of health care," Red Herring the Business of Technology, February 9, 2001, Available online at <a href="http://www.redherring.com/Article.aspx?f=articles%2farchive%2fvc%2f2001%2f0209%2f...">http://www.redherring.com/Article.aspx?f=articles%2farchive%2fvc%2f2001%2f0209%2f...</a> , (5
	AR	<u>1</u>	
	AS	<u>1</u>	

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicants.